

06/24/03

17691 U.S. PAT. OFF. PUBL.

**UTILITY
PATENT APPLICATION
TRANSMITTAL**

Total Pages

First Named Inventor or Application Identifier

L. L. Walker, et al.

EV 331476107 US

HYDROXYALIPHATIC FUNCTIONAL EPOXY RESINS

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO:

Commissioner for Pat nts
Mail St p Pat nt Applicati n
P.O. Box 1450, Al xandria, VA 22313-1450

- | | |
|---|--|
| <p>1. <input checked="" type="checkbox"/> Fee Transmittal Form
 <i>(Submit an original, and a duplicate for fee processing)</i></p> <p>2. <input checked="" type="checkbox"/> Specification [Total Pages <u>69</u>]
 <i>(preferred arrangement set forth below)</i>
 - Descriptive title of the Invention
 - Cross References to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to Microfiche Appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings <i>(if filed)</i>
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure</p> <p>3. <input type="checkbox"/> Drawing(s) <i>(35 USC 113)</i> [Total Sheets <u> </u>]</p> <p>4. <input checked="" type="checkbox"/> Declaration and Power of Attorney [Total Pages <u>1</u>]
 a. <input type="checkbox"/> Newly executed (original or copy)
 b. <input checked="" type="checkbox"/> Copy from a prior application (37 CFR 1.63(d))
 <i>(for continuation/divisional with Box 18 completed)</i>
 <i>[Note Box 5 below]</i>
 i. <input type="checkbox"/> <u>DELETION OF INVENTOR(S)</u>
 Signed statement attached deleting
 inventor(s) named in the prior application,
 see 37 CFR 1.63(d)(2) and 1.33(b).</p> <p>5. <input checked="" type="checkbox"/> Incorporation By Reference
 The entire disclosure of the prior application identified in
 Box 18 is considered as being part of the disclosure of the
 accompanying application and is hereby incorporated by
 reference therein.</p> | <p>6. <input type="checkbox"/> Microfiche Computer Program <i>(Appendix)</i></p> <p>7. <input type="checkbox"/> Nucleotide and/or Amino Acid Sequence Submission
 <i>(if applicable, all necessary)</i>
 a. <input type="checkbox"/> Computer Readable Copy
 b. <input type="checkbox"/> Paper Copy (identical to computer copy)
 c. <input type="checkbox"/> Statement verifying identity of above copies</p> |
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ACCOMPANYING APPLICATION PARTS

<p>8. <input type="checkbox"/> Assignment Papers (cover sheet & document(s))</p> <p>9. <input type="checkbox"/> English Translation Document <i>(if applicable)</i></p> <p>10. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449</p> <p>11. <input checked="" type="checkbox"/> Preliminary Amendment</p> <p>12. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) <i>(Should be specifically itemized)</i></p> <p>13. <input type="checkbox"/> Certified Copy of Priority Document(s) <i>(if foreign priority is claimed)</i></p> <p>14. <input type="checkbox"/> Associate Power of Attorney</p> <p>15. <input type="checkbox"/> Sealed envelope containing confidential information, which Applicants may request to be expunged from the application file.</p> <p>16. <input checked="" type="checkbox"/> Authorization for payment of fees and Petition for Extensions of Time.</p> <p>17. <input type="checkbox"/> Other: _____</p>	<p><input type="checkbox"/> Copies of IDS Citations</p>
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ACCOMPANYING APPLICATION PARTS

8. ☐ Assignment Papers (cover sheet & document(s))
9. ☐ English Translation Document *(if applicable)*
10. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
11. ☒ Preliminary Amendment
12. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
13. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
14. ☐ Associate Power of Attorney
15. ☐ Sealed envelope containing confidential information, which Applicants may request to be expunged from the application file.
16. ☒ Authorization for payment of fees and Petition for Extensions of Time.
17. ☐ Other: _____

18. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

Amend the specification by inserting before the first line, the sentence: "This application is a ☐ Continuation ☒ Divisional ☐ Continuation-in-part (CIP) of prior application No: 09/991,026, filed November 16, 2001." If this application claims the benefit of a provisional application, check this box ☐ This application claims the benefit of U.S. Provisional application No. / , filed .

19. CORRESPONDENCE ADDRESS

☒ *Customer Number or Bar Code Label*

00109

PATENT TRADEMARK OFFICE

or ☐ Correspondence address below

NAME

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CITY

STATE

ZIP CODE

Please direct any telephonic communication regarding this application to the undersigned Attorney/Agent for Applicants:

Joe R. Prieto

Req. No.: 30.887

Phone No.: 989-636-9361

Fax No.: 989-638-9785

17691 U.S. PTO
06/24/03

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Attorney's Case No. : 60103B

Application of : Louis L. Walker, Robert H. Hefner, Jr., Katherine S. Clement

For: HYDROXYALIPHATIC FUNCTIONAL EPOXY RESINS

No. of Drawing Sheets: 0

13123 U.S. PTO
10/602805
06/24/03

EXPRESS MAIL MAILING LABEL NO. EV 331476107 US
DATE OF DEPOSIT: June 24, 2003

Sir:

Enclosed for filing is the above-identified application. Please charge the estimated fee to our Deposit Account No. 04-1512. An original and 2 copies of this sheet are enclosed.

A. Basic Filing Fee	<u>\$740.00</u>
Total Number of Claims	<u>24</u>
Less (Basic Fee)	<u>20</u>
B. Extra Claims	<u>4</u> x \$ 18.00 = <u>\$32.00</u>
Total Number Independent Claims	<u>5</u>
Less (Basic Fee)	<u>3</u>
C. Extra Independent Claims	<u>2</u> x \$ 84.00 = <u>\$168.00</u>
D. Multiple Dependent Claims Presented	+ \$280.00 = \$
TOTAL FILING FEE (A+B+C+D) =	<u>\$940.00</u>

If this estimate is incorrect, please charge or credit our account accordingly.

Respectfully submitted,


Joe R. Prieto

Registration No.: 30,887

Phone: 989-636-9361

THE DOW CHEMICAL COMPANY
Intellectual Property
P.O. Box 1967
Midland, MI 48641-1967

Date: June , 2003

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant (s): Louis L. Walker, Robert H. Hefner, Jr., Katherine S. Clement

Attorney Docket No.: 60103B

Group Art Unit: Unknown

Filed: Concurrently Herewith

Examiner: Unknown

For: HYDROXYALIPHATIC FUNCTIONAL EPOXY RESINS

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DATE OF DEPOSIT: June 24, 2003

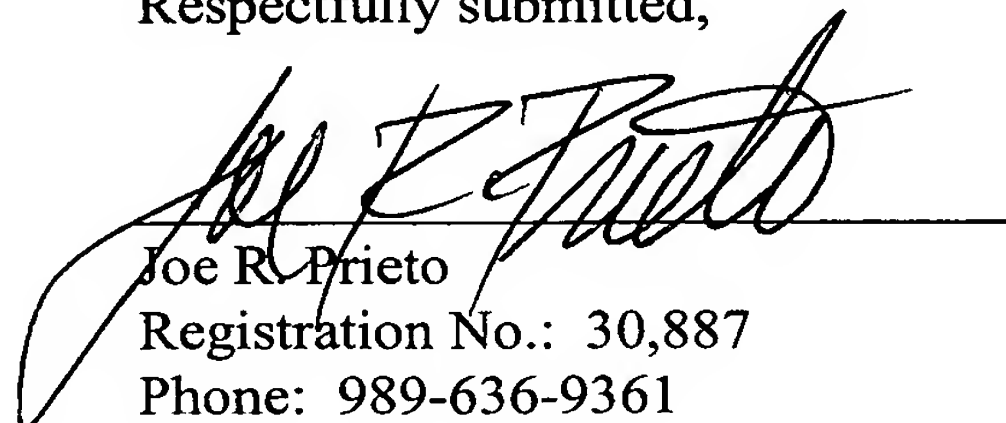
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

AUTHORIZATION FOR PAYMENT OF FEES
AND PETITIONS FOR EXTENSIONS OF TIME

Pursuant to 37 CFR 1.136(a)(3), please treat any concurrent or future reply in this application which requires a petition for an extension of time under 37 CFR 1.136(a)(1) as incorporating a petition for an extension of time for the appropriate length of time. Please charge any fees required under 37 CFR 1.17 in this application to Deposit Account No. 04-1512.

Respectfully submitted,


Joe R. Prieto
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Phone: 989-636-9361

Date: June , 2003

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